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| FORM PTO-1390<br>MODIFIED   | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br><b>36-1874</b>  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><b>10/517648</b><br>Unknown |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2003/002672</b>   | INTERNATIONAL FILING DATE<br><b>20 June 2003</b>        | PRIORITY DATE CLAIMED<br><b>21 June 2002</b>                                      |
| TITLE OF INVENTION<br><b>SPEECH DIALOGUE SYSTEMS</b>  |   |   |
| APPLICANT(S) FOR DO/EO/US<br><b>ATTWATER et al</b>  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).<br>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto (        pages specification, claims & abstract (        claims),        sheets drawings).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation<br>a. <input type="checkbox"/> of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (        pages specification, claims & abstract (        claims),        sheets drawings,        page Certificate of Translation).<br>b. <input type="checkbox"/> of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).<br>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.<br>d. <input type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. a. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (        page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).<br>10. See item 6.b. above.<br><b>Items 11 To 20 below concern document(s) or information included:</b><br>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.<br>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.<br>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information. Published PCT application NO. WO 2004/002125 |   |   |

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| U.S. APPLICATION NO. (if known see 37 C.F.R. 1.51)<br><b>Unknown</b> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2003/002672</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>36-1874</b> |  |
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| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |              |      |            | <b>CALCULATIONS</b> PTO USE ONLY  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
|---|--------------|--------------|------|------------|---|----------|--------|--------------|--------------|------|------|--------------|----|------------|----|-----------|--------------------|----|-----------|
| <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b><br>-- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1110.00<br>-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$950.00<br>-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$790.00<br>-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$750.00<br>-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00<br><br><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT (including search and examination fees) =</b></div> |              |              |      |            | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">\$</td> <td style="width: 40%;">1000.00</td> <td style="width: 50%;"></td> </tr> <tr> <td>\$</td> <td>0.00</td> <td></td> </tr> </table> |          | \$     | 1000.00      |              | \$   | 0.00 |              |    |            |    |           |                    |    |           |
| \$  | 1000.00      |              |      |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| \$  | 0.00         |              |      |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  |              |              |      |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td>54</td> <td>minus 20 =</td> <td>34</td> <td>X \$50.00</td> </tr> <tr> <td>Independent Claims</td> <td>12</td> <td>minus 3 =</td> <td>9</td> <td>X \$200.00</td> </tr> </table>  |              |              |      |            |   |          | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |      | Total Claims | 54 | minus 20 = | 34 | X \$50.00 | Independent Claims | 12 | minus 3 = |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Total Claims  | 54           | minus 20 =   | 34   | X \$50.00  |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Independent Claims  | 12           | minus 3 =    | 9    | X \$200.00 |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td style="width: 20%;">\$300.00</td> <td style="width: 20%;">\$</td> <td style="width: 20%;">0.00</td> </tr> </table>   |              |              |      |            | MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  | \$300.00 | \$     | 0.00         |              |      |      |              |    |            |    |           |                    |    |           |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  | \$300.00     | \$           | 0.00 |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$430.00/2 months; \$980.00/3 months)  |              |              |      |            |   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |      |            | \$ 4500.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |              |      |            | 0.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <b>SUBTOTAL =</b>   |              |              |      |            | \$ 4500.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).  |              |              |      |            | 0.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |      |            | \$ 4500.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property  |              |              |      |            | 40.00   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1370.00 - Small Entity = \$685.00)  |              |              |      |            | 0.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |      |            | \$ 4540.00  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
|   |              |              |      |            | Amount to be: refunded \$   |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |
|   |              |              |      |            | Charged \$  |          |        |              |              |      |      |              |    |            |    |           |                    |    |           |

  

a. ☐ A check in the amount of \$4540.00 to cover the above fees is enclosed.  
b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this form is enclosed.  
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.  
d. ☒ **CREDIT CARD PAYMENT FORM ATTACHED.**  
e. ☒ The entire content of International Application No. PCT/GB2003/002672 and any U.S. and foreign application(s) corresponding thereto, and EP 02254354.0, referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**CORRESPONDENCE ADDRESS**  
**Direct all correspondence to:**

☒ **Customer Number:** 23117

Type Customer Number here

Telephone: (703) 816-4000  
LSN:Imy

Larry S. Nixon

NAME

25,640

December 13, 2004

REGISTRATION NUMBER

Date